

FORM PTO-1449

ATTY. DOCKET NO.

SERIAL NO.

10001559-1

09/483,186

APPLICANT

Francisco Corella

FILING DATE

Jan. 14, 2000

GROUP

Unk.

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	1A					
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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
	1L							
	1M							
	1N							
	1O							
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OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

QB	1Q	M. Boe et al., "TLS-Based Telnet Security," Internet Engineering Task Force, Internet Draft, pp. 1-20, (Updated Version October 24, 2000, Previous Version September 1998). < http://www.normos.org/ietf/draft/draft-ietf-tn3270e-telnet-tls-05.txt >
J	1R	D. Borman, "Telnet Authentication Option," Network Working Group, Request for Comments: 1416, pp. 1-7, (February 1993). < http://www.csl.sony.co.jp/rfc/>
C	1S	M. Carpenter et al., "Securing FTP with TLS," Internet Engineering Task Force, Internet Draft, pp. 1-23, (January 28, 1998). < http://war.jgaa.com/ftp/draft/draft-murray-auth-ftp-ssl-03.txt >

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FOREIGN PATENT DOCUMENTS

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	2L						
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CJB J V	2Q	C. Ellison et al., "SPKI Certificate Theory," Network Working Group, Request for Comments: 2693, pp. 1-43, (Updated Version September 1999, Previous Version November 17, 1998). < http://www.csl.sony.co.jp/rfc/>
	2R	S. Farrell, "TLS Extensions for AttributeCertificate Based Authorization," Transport Layer Security Working Group, Internet Engineering Task Force, Internet Draft, pp. 1-11, (August 20, 1998). < http://www.alternic.org/draft/draft-ietf-tls-attr-cert-01.txt>
	2S	M. Horowitz et al., "FTP Security Extensions," Networking Working Group, Request for Comments: 2228, pp. 1-27, (October 1997). < http://www.csl.sony.co.jp/rfc/>

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OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

CGB ↓ ↓	3Q	R. Housley et al., "FTP Authentication Using DSA," CAT Working Group, Internet Engineering Task Force, Internet Draft, pp. 1-8, (February 1998). < http://www.alternic.org/draft/draft/ietf-cat-ftpsaauth-02.txt >
	3R	R. Housley et al., "Telnet Authentication Using DSA," Secure Telnet Working Group, Internet Engineering Task Force, Internet Draft, 7 pages, (July 1998). < http://www.alternic.org/draft/draft/housley-telnet-auth-dsa-02.txt >
	3S	J. Kohl et al., "The Kerberos Network Authentication Service (V5)," Network Working Group, Request for Comments: 1510, pp. 1-112, (September 1993). < http://www.csl.sony.co.jp/rfc/>

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CJB ↓	4Q	S. Micali, "Efficient Certificate Revocation," Massachusetts Institute of Technology, Laboratory for Computer Science, pp. 1-10 (March 22, 1996).
	4R	M. Myers et al., "X.509 Internet Public Key Infrastructure Online Certificate Status Protocol-OCSP," Network Working Group, Request for Comments: 2560, pp. 1-22, (June 1999). < http://www.csl.sony.co.jp/rfc/ >
	4S	M. Naor et al., "Certificate Revocation and Certificate Update," USENIX Association, Seventh USENIX Security Symposium, pp. 217-228, (January 26-29, 1998).

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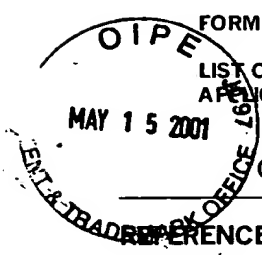
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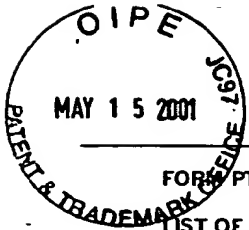
CGB	5Q	C. Newman, "Telnet SASL Option," Network Working Group, Internet Engineering Task Force, Internet Draft, 6 pages, (November 1998). < http://globecom.net/ietf/draft/draft-newman-telnet-sasl-01.html >
	5R	E. Rescorla, "HTTP Over TLS," Internet Engineering Task Force, Internet Draft, pp. 1-6, (September 1998). < http://www.alternic.org/draft/draft-ietf-tls-https-02.txt >
	5S	RSA Security Inc., "RSA Keon Advanced PKI: A Security Architecture for Enabling E-Business," Solution White Paper, pp. 1-14, (1999). < http://www.rsasecurity.com/products/keon/whitepapers/advpkiwpr/rsa_keon_advanced_pki_wp.pdf >

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OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

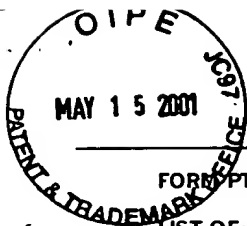
CJB ↓ ↓	6Q	RSA Security Inc., "Security Services Provided by the RSA Keon Desktop v5.1," White Paper, pp. 1-8, (1999). < http://www.rsasecurity.com/products/keon/whitepapers/desktop/keon_desktop_wp.pdf >
	6R	B. Tung et al., "Public Key Cryptography for Initial Authentication in Kerberos," Internet Engineering Task Force, Internet Draft, 21 pages (Expires May 15, 1999) < http://www.altemic.org/draft/draft-ietf-cat-kerberos-pk-init-07.txt >
	6S	Web Page of the "Simple Public Key Infrastructure (spki)," Working Group, 2 pages, (Last Modified January 16, 2001), < http://www.ietf.org/html.charters/spki-charter.html >

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PATENT APPLICATION

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	7L							
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OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

CGR	7Q	Web Page of the "Transport Layer Security (tls)." Working Group, 2 pages, (Last Modified March 30, 2001), < http://www.ietf.org/html.charters/tls-charters.html >
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	7S	

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PATENT APPLICATION

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FOREIGN PATENT DOCUMENTS

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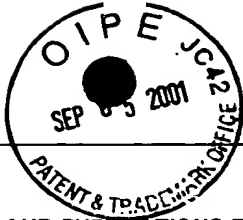
1Q	International Telecommunication Union (ITU-T) Recommendation X.509, "Information Technology Open Systems Interconnection, The Directory: Authentication Framework," pp. i-81 (June 1997).
1R	
1S	

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FORM PTO-1449

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		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
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CJB	1L	WO 99/35783	15 July 1999	Matthew Hur et al.				
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OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

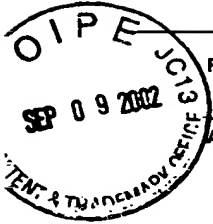
CJB	1Q	R. Rivest, "Can We Eliminate Certificate Revocation Lists?," MIT Laboratory for Computer Science, pp. 178-183, (Feb. 1998). < http://theory.lcs.mit.edu/rivest >
	1R	Menezes, "Handbook of Applied Cryptography," pps. 33, 37-39, 321-322, 559-560, 576-577, (1997).
	1S	A copy of PCT International Search Report for International Application No. PCT/US01/01520 mailed on August 10, 2001(8 pages).

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REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
CJB	1A	4,881,264	11/1989	Merkle	
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		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
CJB	1L	WO 01/43344	14 June 2001	Burton S. Kaliski Jr		
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	1N					
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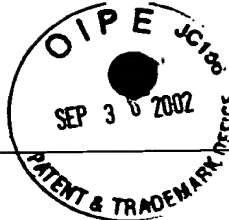
CJB	1Q	Press, Jim, "Secure Transfer of Identity and Privilege Attributes in an Open Systems Environment," Computers & Security, Vol. 10, No. 2., pps. 117-127, (April 1991).
	1R	"SET Secure Electronic Transaction Specification," Book 1: Business Description, Version 1.0, XP-002203148, pps. i-72, (May 31, 1997).
	1S	A partial copy of European Search Report for Application No. EP 00 31 0771 mailed on July 12, 2002 (4 pages).

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cgB	1L	WO 98/21683	22 May 1998	Finjan Software, LTD		X
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cgB	1Q	Menezes et al "Handbook of Applied Cryptography" Handbook of Applied Cryptography, CRC Press Series On Discrete Mathematics And Its Applications, Boca Raton, FL, CRC Press, US, 1997, XP002173298 ISBN: 0-8493-8523-7 * page 39, line 1- line 38 * page 559, line 7-page 560, line 38 * page 576, line 18 - page 577, line 37 *
	1R	Stubblebine S: "Recent-Secure Authentication: Enforcing Revocation In Distributed Systems" /, 1995, pages 1-12, XP002210000 * page 3, right-hand column, line 13 - page 8, right-hand column, line 38 *
	1S	Rivest R L: "Can We Eliminate Certificate Revocation Lists?" Financial Cryptography. International Conference, XX, XX, February 1998 (1998-02), pages 178-183, XP000997964 * the whole document *

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